

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Simmons et al.

Serial No.: To Be Assigned

Filed: Concurrently Herewith

For: *LIQUID COMPOSITIONS AND COMPRISING NON-DIGESTIBLE
OLIGOSACCHARIDES AND GREEN TEA CATECHINS, METHOD AND USES
THEREOF*

Date: June 20, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

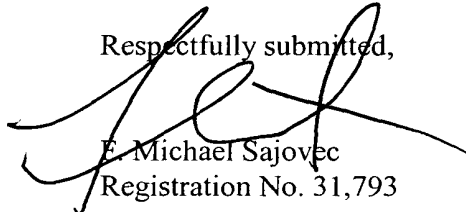
INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to our Deposit Account No. 50-0220.

Respectfully submitted,


E. Michael Sajovec
Registration No. 31,793



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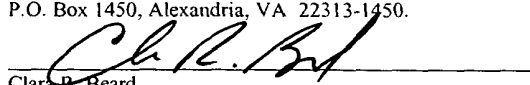
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Clara R. Beard

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 5600-84	SERIAL NO. To Be Assigned
	APPLICANT Donald L. SIMMONS et al.	
	FILING DATE Concurrently Herewith	GROUP To Be Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 4 2 3 3 0 9	2002	Tsunaki, S. et al.	424	93.4	
	5 6 8 1 5 6 9	1997	Kuznicki, J. T. et al.	424	195.1	
	4 9 4 6 7 0 1	1990	Tsai, C.-H. et al.	426	597	
	6 0 6 3 4 2 8	2000	Ekanayake et al.	426	597	
	6 2 6 8 0 0 9	2001	Ekanayake et al.	426	597	
	6 3 7 5 9 9 2	2002	Blumenstein-Stahl et al.	424	729	
	5 4 2 2 3 4 6	1995	Mitchell C.R. et al.	514	54	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	EP	1	2	9	7	7	5	7	2003	EUROPE				
	JP1	1	2	7	6	0	7	3	1999	JAPAN				
	JP1	1	1	3	9	9	8	1	1999	JAPAN				
	JP1	1	1	3	7	2	1	0	1999	JAPAN				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Gibson, GR. et al. "DIETARY MODULATION OF THE HUMAN COLONIC MICROBIOTA: INTRODUCING THE CONCEPT OF PREBIOTICS", Journal of Nutrition, 1995; 125; pp. 1401-1412	1
	Van Loo J. et al. "FUNCTIONAL FOOD PROPERTIES OF NON-DIGESTIBLE OLIGOSACCHARIDES: A CONSENSUS REPORT FROM THE ENDO PROJECT (DGXII AIRII-CT94-1095), British Journal of Nutrition, 1999, 81: pp.121-132	2
	L'Homme C. et al. "KINETICS OF HYDROLYSIS OF FRUCTOOLIGOSACCHARIDES IN MINERAL-BUFFERED AQUEOUS SOLUTIONS: INFLUENCE OF PH AND TEMPERATURE", Journal of Agriculture Food Chemistry, 2003, 51: pp. 224-228	3
	Berger, S.J. et al. "GREEN TEA CONSTITUENT (-)-EPIGALLOCATECHIN-3-GALLATE INHIBITS TOPOISOMERASE I ACTIVITY IN HUMAN COLON CARCINOMA CELLS", Biochemistry Biophysics Research Communications, 2001, 288:1, pp. 101-105	4
	Otsuka T. et al. "GROWTH INHIBITION OF LEUKEMIC CELLS BY (-)-EPIGALLOCATECHIN GALLATE, THE MAIN CONSTITUENT OF GREEN TEA", Life Sciences, 1998, 63: 16, pp. 1397-1403	5
	Paschka AG. et al. "INDUCTION OF APOPTOSIS IN PROSTATE CANCER CELL LINES BY THE GREEN TEA COMPONENT, (-)-EPIGALLOCATECHIN-3-GALLATE", Cancer Letters, 1998, 130:1-2, 1-7	6
	Chow, H.H.S. et al. "PHASE I PHARMACOKINETIC STUDY OF TEA POLYPHENOLS FOLLOWING SINGLE-DOSE ADMINISTRATION OF EPIGALLOCATECHIN GALLATE AND POLYPHENON E", Cancer Epidemiology, Biomarkers & Prevention, 2001, 10: pp.53-58	7
	Hara, Y. "GREEN TEA HEALTH BENEFITS AND APPLICATIONS" Marcel Dekker 2001, Chapter 16, The Fate of Tea Catechins after Oral Ingestion; Chapter 15, Effects on Intestinal Flora.	8
	Chen, Z-Y et al. "DEGRADATION OF GREEN TEA CATECHINS IN TEA DRINKS", Journal of Agriculture Food Chemistry, 2001, 49: pp. 477-482.	9
	Proniuk, S. et al. "PREFORMULATION STUDY OF EPIGALLOCATECHIN GALLATE, A PROMISING ANTIOXIDANT FOR TOPICAL SKIN CANCER PREVENTION", Journal of Pharmaceutical Sciences, 2002, 91: pp. 111-116.	10

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	JP	3	1	7	5	9	3	O	1991	JAPAN				
	WO0	2	0	7	4	1	0	4	2002	WORLD				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		Zimeri J. and Tong, C.H. "DEGRADATION KINETICS OF (-)-EPIGALLOCATECHIN GALLATE AS A FUNCTION OF PH AND DISSOLVED OXYGEN IN A LIQUID MODEL SYSTEM", Journal of Food Science, 1999, 64(5): 753-758.	11
		Freitas, D.D.G.C. "STABILITY OF FRUCTOOLIGOSACCHARIDES ADDED TO CARROT-ORANGE JUICE", Department of Food Technology" FEA Universidade Estadual de Campinas, 2001 IFT Annual Meeting-New Orleans, Louisiana, Session 44E-25	12
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			